

O.EXEC.Ph.D.1 Eligibility criteria for Admission to the Executive Ph.D. Programme [Exec-Ph.D.]

- 1.1.** Candidates for admission to the Executive Ph.D. Programme shall have a Master's degree or a professional degree declared equivalent to the Master's degree by the corresponding statutory regulatory body, with at least 55% marks in aggregate without including the grace mark or its equivalent grade 'B' in the UGC 7-point scale (or an equivalent grade in a point scale wherever grading system is followed) or an equivalent degree from a foreign educational Institution accredited by an Assessment and Accreditation Agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country for the purpose of assessing, accrediting or assuring quality and standards of educational institutions.
- 1.2.** A relaxation of 5% of marks, from 55% to 50%, or an equivalent relaxation of grade, may be allowed for those belonging to SC/ST/SEBC (Non-creamy layer)/Differently-abled and other categories of candidates as per the decision of the Government of Gujarat and other Statutory bodies, as applicable, from time-to-time, or for those who had obtained their Master's degree prior to 19th September, 1991. The eligibility marks of 55% (or an equivalent grade in a point scale wherever grading system is followed) and the relaxation of 5% to the categories mentioned above are permissible based only on the qualifying marks without including the grace mark.
- 1.3.** Candidates who have cleared the M.Phil. Course-work with at least 55% marks in aggregate or its equivalent grade 'B' in the UGC 7-point scale (or an equivalent grade in a point scale wherever grading system is followed) and successfully completing the M.Phil. Degree shall be eligible to proceed for Research work leading to the Ph.D. Degree in the same Institution in an integrated programme. A person whose M.Phil. dissertation has been evaluated and the viva voce is pending may be admitted to the Ph.D. Programme of the same Institution; Candidates possessing a Degree considered equivalent to M.Phil. Degree of an Indian Institution, from a Foreign Educational Institution accredited by an Assessment and Accreditation Agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country for the purpose of assessing, accrediting or assuring quality and standards of educational institutions, shall be eligible for admission to Executive Ph.D. Programme.
- 1.4.** Related/Conjunct subject(s) shall be recommended and approved by the concerned Faculty Level Research Advisory Committee/Institute Level Research Advisory Committee. Such introduction of Related/Conjunct Subject(s) shall have to be proposed by the concerned Faculty Level Research Advisory Committee/Institute Level Research Advisory Committee. Such introduction of Related/Conjunct Subject(s) must be reported to the Council of Post- Graduate Studies and Research and the Syndicate for information.
- 1.5.** Notwithstanding anything contained hereinabove, whenever any candidate, after possessing requisite qualification of marks not less than 55% in aggregate or its equivalent grade 'B' in the UGC 7-point scale (or an equivalent grade in a point scale wherever grading system is followed) in certain subject, applies for joining the Executive Ph.D. Programme under the subject different from or not related to his/her post- graduation or an allied subject (Related/Conjunct Subject) under the

Faculty/Institution, he/she may be permitted to join Executive Ph.D. Programme in the desired subject upon recommendation from the Faculty Level Research Advisory Committee/Institute Level Research Advisory Committee.

- 1.6. The application for this purpose shall have to be made by the desirous candidate to the concerned Faculty/Institute. Such cases must be reported to the Council of Post-Graduate Studies and Research and the Syndicate for information.
- 1.7. Notwithstanding anything contained hereinabove, a person intending to register for the Executive Ph.D. Programme of this University, working in a National Laboratory/Industry/Government Department/Institution etc. shall be considered eligible to register for Executive Ph.D. Programme only. Such applications must be addressed, considered and approved by the Faculty Level Research Advisory Committee/Institute Level Research Advisory Committee.

Such cases must be reported to the Council of Post-Graduate Studies and Research and the Syndicate for information.

- 1.8. Joint Executive Ph.D. Programme can be offered subject to the Memorandum of Understanding with the collaborating Institution and the Faculty/Institute of the University, upon the recommendation by the Council of Post-Graduate Studies and Research and approval by the Syndicate.

O. EXEC. Ph.D.2 Duration of the Executive Ph.D. Programme:

- 2.1. Executive Ph.D. Programme shall be for a minimum duration of Three (03) Years, including Ph.D. Course-work extendable up to a maximum duration of Six (06) Years.
- 2.2. The Synopsis for the Executive Ph.D. Programme can be submitted after a minimum period of Three (03) Years from the date of Ph.D. registration along with the prescribed Examination fees to be deposited to the Deputy Registrar (Academics) through the Guide and Co-guide for research degree, and the Dean of the concerned Faculty/Director of the Institute. Before submission of the Synopsis, a Research scholar needs to present the Synopsis before the Faculty Level Research Advisory Committee [FLRAC]/Institute Level Research Advisory Committee [ILRAC], at most Three (03) month before the submission of the Synopsis. The Synopsis must be submitted to the Deputy Registrar (Academics) within Three (03) month from the date of presentation before FLRAC/ILRAC, with necessary amendment(s)/correction(s), if any, suggested by FLRAC/ILRAC, as the case may be.
- 2.3. The minimum time limit for submitting the Ph.D. thesis shall be after Thirty-Six (36) months from the date of Ph.D. registration along with necessary fees for submission of Ph.D. Thesis. Accordingly, the Ph.D. Degree notification shall be issued only after completion of Three (03) Years from the date of registration. The candidate registered for Ph.D. degree shall be required to present an open seminar in the Faculty/Institute, at least once in a year to review the progress of research work of the candidate.
- 2.4. A Candidate who has so renewed the period for Executive Ph.D. Programme registration, shall be required to submit the Ph.D. Thesis within a period of not more than Six (06) Years/Twelve (12) Semesters for Executive Ph.D. Programme, from the

date of Original Registration.

- 2.5. Notwithstanding the provision contained hereinabove O.EXEC.Ph.D.2.4, the Vice-Chancellor, in Special Cases, may permit the Research Scholar to submit the Ph.D. thesis late by granting necessary extension. Such Research Scholar is required to pay the necessary additional fees for Executive Ph.D. Programme for each additional Year(s)/Semester(s) or a part thereof. Such action taken by the Vice-Chancellor shall be reported to the Syndicate through Council of Post-Graduate Studies and Research for information.
- 2.6. The candidate registered for Executive Ph.D. Executive Programme Degree shall be required to pay the tuition and other fees as prescribed from time-to-time for all the terms from the date of registration to the date of submission of thesis on production of Six-monthly Progress Report.

O. EXEC. Ph.D.3 Procedure for Admission to Executive Ph.D. Programme and Progression of Study

- 3.1. The University shall admit Ph.D. candidate through an Entrance Test to be conducted by The Maharaja Sayajirao University of Baroda. The Candidate who fulfils the conditions as stated in O.EXEC.Ph.D.1, and have qualified in the National/State Level Eligibility Test or the Tests such as UGC-CSIR/NET/SET/GATE/GPAT/DBT/ICMR/ICAR/JRF/NBHM/DST-INSPIRE/Teacher Fellowship Tests etc. or such other Eligibility Tests of National Level, as recognized equivalent thereto by the Council of Post-Graduate Studies and Research or have passed M.Phil. Programme shall be exempted from appearing in the Ph.D. Entrance Test to be conducted by The Maharaja Sayajirao University of Baroda.
- 3.2. The candidates otherwise fulfil the requirement as stated in O.EXEC.Ph.D.1 but have not qualified in the National/State Level Eligibility Test or the Tests such as UGC-CSIR/NET/SLET/GATE/DBT/ICMR/ICAR/JRF/Teacher Fellowship Tests etc. shall have to qualify in the Ph.D. Entrance Test (PET) of The Maharaja Sayajirao University of Baroda in the concerned conjunct /Relevant/Allied subject.
- 3.3. The offer and conduct Executive Ph.D. Programme shall:
 - 3.3.1. Decide on an annual basis a predetermined and manageable number of Ph.D. scholars to be admitted depending on the number of available Guide for research degree and other academic and physical facilities available, keeping in mind the norms regarding the Research scholar: Teacher ratio (as indicated in O.EXEC.Ph.D.4.16.1, O.EXEC.Ph.D.4.16.1, and, O.EXEC.Ph.D.4.16.3), laboratory, library and such other facilities;
 - 3.3.2. The concerned guide may inform about the availability of positions for Research Scholars through the concerned Dean of the faculty/Director of the institute to the University and the same shall be advertised and notified and such announcement shall have to be posted on the University Website.
 - 3.3.3. Notify well in advance on the Faculty/Institute/University website and through advertisement in National Newspapers, of which at least one shall be in the regional language whereas the number of seats for admission,

subject/discipline-wise distribution of available seats, criteria for admission, procedure for admission, examination center(s) where entrance test(s) shall be conducted and all other relevant information for the benefit of the candidates shall have to be posted on the Institution/Faculty/University Website.

- 3.3.4. Admission to the Executive Ph.D. Programme shall adhere to the National/State-level Reservation Policy, as applicable.
- 3.4. The admission shall be based on the criteria notified by the University, keeping in view the guidelines/norms in this regard issued by the UGC and other statutory bodies concerned, and considering the reservation policy of the Central/State Government in force and that shall be amended from time-to-time.
- 3.5. Notwithstanding anything contained hereinabove, a person working in a National Laboratory or an Institution outside the University area recognized by this University for purposes of giving guidance for Research and intending to register for the Executive Ph.D. Programme of this University shall forward the application in the prescribed form for admission. Such person complying to the eligibility criteria as stated in O.EXEC.Ph.D.1 and O.EXEC.Ph.D.3 hereinabove is eligible for such admission by virtue of having a qualifying degree of this or any University, or an equivalent qualification having marks not less than 55% in aggregate or its equivalent grade 'B' in the UGC 7- point scale (or an equivalent grade in a point scale wherever grading system is followed). The application form duly completed and signed by the recognized Guide for research degree shall be sent by the Head of the Laboratory/Institution to the concerned Dean of the Faculty/Director of the Institute.
- 3.6. The University shall admit candidates for Ph.D. by a two-stage process through:
 - 3.6.1. An Entrance Test shall be qualifying test with qualifying marks as 50%. The syllabus of the Entrance Test shall consist of 50% of Research Methodology and 50% shall be Subject/Discipline/Area specific.
 - 3.6.2. An interview/viva-voce to be organized by the Faculty Level Research Advisory Committee [FLRAC]/Institute Level Research Advisory Committee [ILRAC]. The candidates are shall also be required discuss in detail his/her Research proposal/Research interest/area supported with a presentation before Faculty Level Research Advisory Committee [FLRAC]/Institute Level Research Advisory Committee [ILRAC].
 - 3.6.3. The interview/viva voce shall also consider the following aspects, viz. whether:
 - 3.6.3.1. The candidate possesses the competence for the proposed research;
 - 3.6.3.2. The proposed area of research can contribute to new/additional knowledge.
- 3.7. A student has to pay Yearly fees/Half-yearly fees, as prescribed from time-to-time, and in force, for admission required under relevant ordinances. The student shall apply for admission to the University only after obtaining the Certificate of eligibility on production of the required documents and the prescribed fees. On receipt of the completed application for registration and the prescribed fees, the University will issue a Registration Certificate showing the name of the Research scholar, the date of

registration, the Provisional title of research and the year in which the Research scholar is entitled to submit the Thesis.

The title for the Ph.D. thesis will be finalized at the time of submission of the Synopsis, and the same will be submitted to the Office of Academic section for record.

Note: All the progress reports submitted prior to the submission of the Synopsis will contain the Provisional title (or the Final title, as the case may be). However, the Synopsis will be submitted along with the Provisional title as well as the Final title.

- 3.8.** The Faculty/Institute and the University shall maintain the list of all the Ph.D. registered candidates on the Website on year-wise basis. The aforesaid list shall include the Name of the registered candidate, Topic of his/her research, Name of his/her Guide and Co-guide for research degree, Date of registration etc.
- 3.9.** A candidate for Ph.D. degree after the registration shall be required to work for a minimum period of three years under the guidance of a Guide and Co-guide(s) for research degree. All those candidates who have been permitted to work in Recognized National Laboratory/Industry/Government Department/Institution etc. shall also be required to work under the Guide for research degree and Co-guide(s) for a minimum period of three years.
- 3.10.** Employees who are in-service in any other recognized University/College/Research Institute/Industry/Organization/Government department etc. in India and have a minimum of five years teaching/research/entrepreneurial/administrative experience, may be considered for registration to Executive Ph.D. Programme.

Employees who are in-service at senior and middle level as professionals from amongst Industry, Technocrats, Bureaucrats, Scientists, R&D Personnel, Business Executives, Managers, Administrators & Government officials may be considered for registration to the Executive Ph.D. Programme.

The candidate shall also be required to submit 'No Objection Certificate' from their present employer for pursuing Ph.D.

Self-employed Professionals such as Chartered Accountants, Cost & Management Accountants, Company Secretary etc. and self-employed entrepreneurs who with a successful track record of managing a small or mid-sized business unit etc. may be considered for registration to the Executive Ph.D. Programme.

The university decision shall be final and binding to all in assessing and determining the eligibility of candidate having a minimum of 5 years of work experience in the Senior / Middle Level Cadre of a mid-sized organization of National / International repute in its field.

- 3.11.** A Research scholar, in case of essentiality, may apply for the 'Change of Title for Ph.D. Thesis' to the Faculty Level Research Advisory Committee/Institute Level Research Advisory Committee through his/her Guide and Co-guide (s) for research degree. The FLRAC/ILRAC may, after suitable academic consideration, shall recommend the application appropriately to the university, which is empowered to approve such applications. The information to this effect shall be required to be submitted by the concerned Dean of the Faculty/Director of the Institute to the Deputy Registrar

(Academics) for making the necessary changes in the University records.

- 3.12. Guide and Co-guide (s) for research degree may propose the cancellation of Ph.D. registration in the event of the Research scholar is not exhibiting/showing satisfactory progress before the Faculty Level Research Advisory Committee/Institute Level Research Advisory Committee. The recommendations of FLRAC/ILRAC will be suitably considered by Faculty Level Research Advisory Committee/Institute Level Research Advisory Committee, which shall be empowered to cancel the registration of a Research scholar. Such action shall be required to be resolved in the meeting and shall be reported to the Deputy Registrar (Academics) through Dean of the concerned Faculty/Director of the Institute for making the necessary changes in the University records. Such action taken approved by the Vice-Chancellor and the decision thereupon shall be required to be reported to the Syndicate through Council of Post-Graduate Studies and Research for information.
- 3.13. Deleted

O. EXEC Ph.D.4 Eligibility Criteria and Allocation of the Guide for Research Degree, Co-guide(s), and Number of Ph.D. Scholars Permissible Per Guide and Co guide(s) for Ph.D. Research Degree:

- 4.1. Any Professor of the University with at least five Research publications in UGC CARE Reference List, SCOPUS Listing or WEB of Sciences and successfully guided at least one Research Scholar for Ph.D. shall be deemed as recognized as Guide/Co-guide for research degree. (Provided that he/she holds a Doctoral Degree or Equivalent research publications) in the subject/area/discipline, without referring their cases to the Council Post-Graduate Studies and Research and the Syndicate.

However, such Professor shall be required to inform in writing their willingness to offer the services as Guide/Co-guide for research degree to the University. Their applications shall have to be forwarded through the Dean of the Faculty upon recommendation from the concerned Faculty Level Research Advisory Committee/Institute Level Research Advisory Committee with all necessary documentary evidences about aforesaid criteria for their Research Publications.

Provided that in areas/disciplines where there are only a limited number of journals in UGC CARE Reference List, SCOPUS Listing or WEB of Sciences are available or no such journals are available as such, the University may relax the above condition for recognition of a person as Guide/Co-guide for research degree with reasons recorded in writing. Such a case shall be reported to the Syndicate through the Council of Post-Graduate Studies and Research for information.

- 4.2. Any Associate Professor/Assistant Professor of this University with a Ph.D. degree and at least two Research publications in UGC CARE Reference List, SCOPUS Listing or WEB of Sciences and successfully guided at least one Research Scholar for Ph.D. may be recognized as Guide/Co-guide for research degree in the respective subject, without referring their cases to the Council Post-Graduate Studies and Research and the Syndicate. However, such Associate Professor/Assistant Professor shall be required to apply in writing their willingness to offer the services as Guide/Co-guide for research degree to the University. Their applications shall have to be forwarded through the Dean of the Faculty upon recommendation of the Faculty Level Research

Advisory Committee/Institute Level Research Advisory Committee with all necessary documentary evidences about aforesaid criteria for their Research Publications.

Provided that in areas/disciplines where there are only a limited number of journals in UGC CARE Reference List, SCOPUS Listing or WEB of Sciences are available or no such journals are available as such, the University may relax the above condition for recognition of a person as Guide for research degree with reasons recorded in writing. Such a case shall be reported to the Syndicate through the Council of Post-Graduate Studies and Research for information.

- 4.3. Provided further that for the applicants applying for recognition as Guide/Co-guide for the research degree from the Faculty of Fine Arts and Faculty of Performing Arts, the existing stipulations as per the Syndicate resolution number 22 dated 29/11/2013 and Syndicate resolution number 32 dated 27/12/2013 shall remain effective.
- 4.4. Every recognized Guide for research degree must be attached to the Faculty/Institution of this University, and the recognition will continue so long as one answers the designation on the basis of which the recognition was granted, till the date of retirement and/or if the services of such Guide for research degree is continued as per the UGC's scheme. The Faculty-level Research Advisory Committee (FLRAC) is empowered to allow to extend/continue the guide-ship of such Guide for research degree either for those already registered Research scholars or for remaining period of the services, as the case may be. Those Guide for research degree whose services are extended under the scheme of statutory bodies will be permitted for registration of new Research scholars within the First year of newly extended period within the aforesaid stipulation. Such action shall be required to be reported to the Deputy Registrar (Academics) through proper channel for making necessary changes in the University records and is to be reported to the Syndicate through the Council of Post-graduate Studies and Research for noting.
- 4.5. The Syndicate may, at any time, on the recommendation of the Council of Post-Graduate Studies and Research shall be empowered to withdraw the recognition of a Guide/Co-guide for research degree.
- 4.6. The Faculty Level Research Advisory Committee/Institute Level Research Advisory Committee shall have to recommend the application for **Co-guide** to the University. The recognition of Co-guide shall be as per the stipulated rules as specified in the present O.EXEC.Ph.D.4 and recognition letter shall be issued by the University.
- 4.7. The Faculty Level Research Advisory Committee/Institute Level Research Advisory Committee, on the recommendation of the Guide for research degree, may appoint scholars of eminence who shall be residing in India or abroad, as Co-guide.
- 4.8. The following shall be the guidelines to which the Council of Post-graduate Studies and Research will give due consideration while recognizing **External Co-guide (Persons from Outside the University)** for research degree as qualified for guiding candidates for Ph. D. Degree:

For appointment of 'Co-guide', outside the university, the guidelines shall be as follows:

- 4.8.1. Any person from Institutions/Laboratories or Research Institution/Industry/Government Department/Institution etc. be deemed recognized Co-guide(s) for research degree for guiding Ph.D. candidates without referring their cases to the Council of Post-Graduate Studies and Research and the Syndicate, provided such persons are from Scientific/Technical/Managerial/Administrative/Government cadre and having Ph.D. degree in the respective subject should submit a formal application in the prescribed form to the University.
- 4.8.2. He/She has obtained Ph.D. Degree.
- 4.8.3. He/She should have obtained Master's Degree with at least 55% having consistently good academic record from a recognized Institution as approved by the MHRD, Government of India and also by the concerned statutory body/council such as UGC/AICTE etc. and the degree of PhD has been accorded equivalence by AIU, New Delhi.
- 4.8.4. He/She possesses comparable 'Research/Academic/Managerial /Administrative experience of at least five years.
- 4.8.5. Minimum of 02 Research publications in UGC-CARE Reference List, SCOPUS Listing or WEB of Science, arising from research work other than Ph.D Thesis.
OR
Minimum of 02 Granted Patents.
OR
He/she should have successfully completed at least two Major Research Projects preferably funded by funding agency recognized by State/Central Government Industry etc. (Excluding Self-Sponsored Own Research Projects)
- 4.9. Every-recognized Co-guide must be a permanent employee of the concerned Institution/Laboratory/Corporate/Industry/Government Department etc. and the recognition will continue so long as one answers the designation on the basis of which the recognition was accorded and is in the employment of the same Institution/Laboratory/Corporate/Industry/Government Department etc. However, if such a Co-guide for research degree/Co-guide has guided for Four Terms or more and if he/she is willing to continue after leaving the Institution/Laboratory/Laboratory/Corporate/Industry/Government Department etc. recognized by this University, his/her application in this matter will be favorably considered by the University.
- 4.10. The recognized Co-guide for research degree should maintain active academic interactions with this University.
- 4.11. The Syndicate may, at any time, on the recommendation of the Council of Post-Graduate Studies and Research shall be empowered to withdraw recognition of Co-guide recognized from outside the university.
- 4.12. The Dean of the concerned Faculty and the Director of the institute shall act as Coordinator/Chairperson for establishing liaison between the Research scholar, the Guide, recognized from within the University and Co-guide, recognized from Outside the University as the case may be.

- 4.13.** The Coordinator shall be required to ensure that the Research scholar comes to University Faculty/Institute at least once a year to submit and present the progress of the research work done during the year before the concerned Faculty-level Research Committee/ Institute-level Research Committee.
- 4.13.1. Coordinating for submission of recommendation for appointment of External Examiner.
 - 4.13.2. Coordinator shall ensure verification of the incorporation of the suggested corrections/recommendations/suggestions etc. by the Research Scholar.
 - 4.13.3. Coordinating with the External Examiner and student for the Open Public Viva-voce (Open defense) Examination.
 - 4.13.4. Coordinator authenticates and forward the reports on the open Public Viva-voce (Open defense) Examination of the student.
- 4.14.** The allocation of Guide(s)/Co-guide(s) recognized from within the university and Co-guide recognized from outside the University for research degree for a selected Research scholar shall be decided by the Faculty/Institute concerned depending on the number of scholars per Guide for research degree, the available specialization among the Guides/Co-guide (s) for research degree and research interests of the Research scholars as indicated by them at the time of interview/viva voce.
- 4.15.** In case of topics which are of inter-disciplinary nature where the concerned Faculty/Institute feels that the expertise in the Faculty/Institute has to be supplemented from outside, the Faculty/Institute may appoint a Guide recognized from within the university and Co-guide recognized from outside the University for research degree. Such application for recognition of the Co-guide shall be granted by the Vice-Chancellor and reported to the Syndicate through the Council of Post-Graduate Studies and Research upon such terms and conditions as may be specified and agreed upon for noting and information.
- 4.16.** Number of Ph.D. Scholars Permissible Per Guide and Co Guide(s) for Ph.D. Research Degree:
- 4.16.1. A Guide for research degree who is a Professor/Co-guide, at any given point of time, cannot guide more than Eight [08] Ph.D. scholars. The new vacancy for afresh Ph.D. Registration will be created in proportion to the submission of the Ph.D. thesis of those students who have registered under the respective Guide for research degree.
 - 4.16.2. An Associate Professor/Co-guide as Guide for research degree can guide up to a maximum of Six [06] Ph.D. scholars. The new vacancy for afresh Ph.D. Registration will be created in proportion to the submission of the Ph.D. thesis of those students who have registered under the respective Guide for research degree.
 - 4.16.3. An Assistant Professor as Guide for research degree can guide up to a maximum of four [04] Ph.D. scholars. The new vacancy for afresh Ph.D. Registration will be created in proportion to the submission of the Ph.D. thesis of those students who have registered under the respective Guide for research degree.

- 4.17. An Associate Professor, at any given point of time, can guide up to a maximum of Six [06] Ph.D. scholars. The new vacancy for afresh Ph.D. Registration will be created in proportion to the Ph.D. Notification of those candidates who have registered under the respective Guide for research degree.
- 4.18. An Assistant Professor, at any given point of time, can guide up to a maximum of One Four [04] Ph.D. scholars. The new vacancy for afresh Ph.D. Registration will be created in proportion to the Ph.D. Notification of those candidates who have registered under the respective Guide for research degree.
- 4.19. A candidate desirous of changing the Guide/Co-guide for research degree shall apply to the Faculty-level / Institute Level Research Advisory Committee through both the existing Guide and Co-guide for research degree and the proposed Guide for research degree, FLRAC/ILRAC shall be empowered to act on such application with the reason(s) to be recorded in writing. Such action shall be required to be reported to the Deputy Registrar (Academics) through proper channel for updating in the University records.

Provided that in the cases of retirement of a Guide/Co-guide for research degree or death of a Guide/Co-guide or long leave or prolonged sickness of a Guide/Co-guide or the cases of similar nature, a candidate may apply to the Faculty-level/Institute Level Research Advisory Committee for changing the Guide/Co-guide for research degree. FLRAC/ILRAC shall be empowered to act on such application with the reason(s) to be recorded in writing. Such action shall be required to be reported to the Deputy Registrar (Academics) through proper channel for updating in the University records.

- 4.20. In case of relocation of an Ph.D. scholar, the research data shall be allowed to be transferred to the University to which the scholar intends to relocate provided all the other conditions in these regulations are followed in letter and spirit and the research work does not pertain to the project secured by the Parent institution/Guide (or) Co-guide for research degree from any funding agency. The scholar will however give due credit to the parent Guide/Co-guide and the University/Institution for the part of research already done.

4.21. Equivalence for Ph.D.:

As per AICTE Notification dated 01/03/2019, the person of eminence wishing to become the Guide for research degree not holding the Ph.D. degree but have;

4.21.1. 5 Research publications in UGC CARE Reference List, SCOPUS Listing or WEB of Sciences with the criteria that each Journal having a cumulative impact index of not less than 2.0, with incumbent as the main author and all 5 publications being in the authors' area of specialization;

OR

4.21.2. An incumbent should have obtained at least two patents;

OR

4.21.3. An incumbent contributed to the increased productivity in the place of work recognized at State or National level or elected as a Fellow of any of the National academies

However, the administrative procedure of providing such equivalence for Ph.D. shall be as per the procedure of this University.

O.EXEC. Ph.D.5 Course-Work, Credit Requirements, Number, Duration, Syllabus, Minimum Standards for Completion, etc. for Executive Ph.D. Programme

- 5.1. The minimum credits for the Ph.D. Course-Work for Executive Ph.D. Programme shall be of 08 Credits, [120 Hours] to be decided by the Faculty Level Research Advisory Committee/Institute Level Research Advisory Committee and as per the approved guidelines of the Post-Graduate Council of Studies and Research in force and as may be amended from time-to-time.
- 5.2. All candidates admitted to the Executive Ph.D. Programme shall be compulsorily required to complete the Ph.D. Coursework prescribed by the Faculty/Institute and as per the approved guidelines of the Post-Graduate Council of Studies and Research during the initial one or two semesters.
- 5.3. The Faculty-level/Institute-level Ph.D. Coursework shall be of a minimum of 08 credits and a maximum of 16 credits. A minimum of Four [04] credits shall be assigned to one or more courses on Research Methodology which could cover areas such as quantitative methods, computer applications, research ethics and review of published research in the relevant field, training, field work, etc. Other courses shall be advanced level courses for preparing the students for the Ph.D. degree.

All courses prescribed for Ph.D. course work shall be in conformity with the credit hour instructional requirement and shall specify content, instructional and assessment methods. They shall be duly approved by the authorized academic bodies.

- 5.4. They shall be duly approved by the concerned Faculty Level Research Advisory Committee/Institute Level Research Advisory Committee and as per the approved guidelines of the Council of Post-graduate Studies and Research in force and that shall be amended from time-to-time.
- 5.5. The Faculty/Institute where the scholar pursues the research work shall prescribe the course(s) to him/her based on the recommendations of the Faculty Level Research Advisory Committee/Institute Level Research Advisory Committee, as stipulated under O.EXEC.Ph.D.4 herein under, for the Research scholar.
- 5.6. The Faculty Level Research Advisory Committee/Institute Level Research Advisory Committee shall suggest courses related to the subject within and outside the University, equivalent to at least minimum 08 credits (1 credit = 15 hours of teaching) in the relevant/allied/conjunct area. This Faculty Level/Institute Level course-work may also include other specialized areas of studies, based on the need of the approved research topic for the studies, which the Guide/Co-guide(s) for research degree considers necessary and that the Research scholar must acquire the proficiency in these areas as well.
- 5.7. A candidate having completed the Ph.D. Coursework as per the UGC Regulations from any another University/College/Institute/Laboratory/Center recognized by the concerned statutory Body/Council or the Ministry of Education, New Delhi shall be exempted from the Ph.D. Coursework. The credits so obtained shall be treated at par with the credits of this University. However, such a candidate will have to appear in the University Entrance Examination (PET) as applicable to Fresh Candidates directly

registering for Ph.D.

Notwithstanding anything contained hereinabove, the Vice-Chancellor shall be authorized for consideration of equivalence of the Ph.D. Coursework completed outside the University based on the merit of the case.

- 5.8. Candidates already holding M. Phil. degree and admitted to the Exec. Ph.D. Programme, or those who have already completed the Course-Work in M.Phil. and have been permitted to proceed to the Ph.D. in integrated course, may be exempted by the Department Faculty-level /Institute-level from the Ph.D. course-work. All other candidates admitted to the Ph.D. Programme shall be required to complete the Ph.D. course-work prescribed by the Faculty Level Research Advisory Committee/Institute Level Research Advisory Committee and as per the approved guidelines of the Post-Graduate Council of Studies and Research in force and as may be amended from time- to-time.
- 5.9. Grades in the Ph.D. Coursework including course on Research Methodology and other prescribed courses shall be finalized by the Faculty level /Institute level Research Advisory Committee and after a combined assessment by the Faculty level /Institute level Research Advisory Committee, the final Grades of each of the candidate (s) shall be submitted by the Chairperson of Faculty level /Institute level Research Advisory Committee to the University.
- 5.10. The Ph.D. scholar has to obtain a minimum of 55% of marks in the prescribed Grade Point Scale as per the approved Structure of the University of the Ph.D. Course-work in order to become eligible to continue in the respective Ph.D. Programme and submit the Ph.D. Thesis, as the case may be.

0. EXEC.Ph.D.6 The Faculty Level Research Advisory Committee/Institute Level Research Advisory Committee, and its functions

- 6.1. There shall be a Faculty Level Research Advisory Committee[FLRAC]/Institute Level Research Advisory Committee [ILRAC] for each of the Ph.D. scholar.

The constitution of this the Faculty-level /Institute Level Research Advisory Committee shall be as under:

- Dean of the concerned Faculty /Director of the Institute – shall act as ‘Chairperson’
- Guide for Research Degree – Convener
- Co-guide for Research Degree – Co-convener
- One Professor [Must be a Guide for Research Degree] – Member
- One Associate Professor [Must be a Guide for Research Degree] – Member
- One Assistant Professor [Must be a Guide for Research Degree] – Member
- Two Subject Experts from the Institution Laboratory / Corporate / Industry / Government Department - [As nominated by Vice-Chancellor] – Member(s)

Note:

Where the Guide for research degree is also the Dean of the concerned Faculty / Director of the concerned Institute concerned, then a senior teacher Dean of the concerned/Relevant/Allied subject/area/discipline concerned who is a recognized Guide for research degree be included in FLRAC/ILARC Where the Guide for research degree is both Head of the Department and Dean of the Faculty concerned, then two such teachers of the concerned/Relevant/Allied

Faculty/Institute/Department be included in the DRC FLRAC/ILARC. If the concerned faculty/Institute concerned does not have the required number of such teachers, then such number of senior teachers who are Guide for research degree from the concerned conjunct /Relevant/Allied Faculty/Institute/Department be included in the FLRAC/ILARC so that in any given situation, the FLRAC/ILARC consists of not less than two other such teachers in addition to the Guide/Co-guide(s) /Subject Expert (s) for research degree.

The FLRAC/ILARC shall meet at least once every three months, and submits the proceedings to the university. The proceedings of DRC FLRAC/ILARC shall be required to be placed before the office of the Vice Chancellor for consideration and approval please and it should be placed before the Post-Graduate Council of Studies & Research as defined herein under for information and noting about the actions within its empowerment.

This FLRAC/ILARC Committee shall have to perform the following functions and responsibilities:

- i. To hold the interview and viva-voce for the Research scholar intending to join Ph.D. and recommend the area/subject/discipline of research based on the review of research proposal;
- ii. To recommend the Guide/Co-guide(s) for research degree as per the area/subject/discipline of research of the applicant;
- iii. To plan and conduct online and or offline Ph.D. Couse Work under the interdisciplinary subject/subject;
- iv. To recommend the concerned conjunct/Relevant/Allied subject(s) for the concerned faculty/Institute;
- v. To suggest, recommend and prescribe the subjects and areas of Faculty/Institute Level Ph.D. Course-work;
- vi. To review the progress of research work periodically upon the presentation from Research scholar and assist him or her in the progress of the research work and studies of the Research scholar;
- vii. Deleted
- viii. To vet, scrutinize and recommend the applications for the Guide/Co-guide from within the University or outside the University from the Institution/Laboratory/Corporate/Industry/Government Department of repute etc. for imparting the Research work or an Institution, if need be, and dealt with the extension of research-work within and outside the University;
- ix. To attend the Open Public Viva-voce (Open defense) and recommend the critique, if any;
- x. To review/examine ethical issues involved in undertaking research on the topic, research methodology plan of the Research scholar and to suggest changes required (if any), as applicable.
- xi. To undertake such other duties, as recommended and entrusted upon, in order to elevate, maintain and enhance the research standards from time-to-time.

A Research scholar shall be required to appear online and or offline before the FLRAC/ILARC *at least* once in six months to make a presentation of the progress of the research work and studies for evaluation/assessment and further guidance.

The six-monthly progress reports shall be submitted ~~by~~ to the to the office of the Vice Chancellor University through FLRAC/ILARC with a copy to the Research scholar for consideration and approval please and it should be placed before the Post-Graduate

Council of Studies & Research as defined herein under for information and noting about the actions within its empowerment.

In case the progress of the Research scholar is unsatisfactory, the FLRAC/ILARC shall record the reasons for the same and suggest corrective measure(s). If the Research scholar fails to implement these corrective measures, the FLRAC/ILARC be authorized to recommend—with specific reasons for cancellation of the Ph.D. registration of the Research scholar—to the office of the Vice Chancellor University with a copy to the Research scholar for consideration and approval please, and it should be placed before the Post-Graduate Council of Studies & Research as defined herein under for information and noting about the actions within its empowerment.

O.EXEC.Ph.D.7 Evaluation and Assessment Methods, Minimum Standards/Credits for Award of the Ph.D. Degree

- 7.1. Upon satisfactory completion of Ph.D. Course-Work, and obtaining a minimum of 55% of marks in the prescribed Grade Point Scale and Structure of the University (As prescribed in O.EXEC.Ph.D.-V-8) the Ph.D. Scholar shall be required to undertake research work and submit a Draft Synopsis before completion of One year (Extendable by a maximum period of One year) from the completion of Three (03) (Extendable up to a maximum period of Six (06) Years from the date of Ph.D. registration.
- 7.2. At most One (01) month prior to the submission of the Ph.D. Synopsis, the Research scholar shall make a presentation before the FLRAC/ILARC, which shall also be open to all Faculty/Institute members and other Research scholars. The feedback and comments obtained from them may be suitably incorporated into the draft Ph.D. Thesis in consultation with the FLRAC/ILARC.
- 7.3. The Ph.D. Thesis can be submitted at any time during the year, after the prescribed period in this Ordinance.
- 7.4. The Ph.D. Thesis shall be written in English language, or otherwise when its subject matter is related to or based on a Modern European or Indian Languages, it may be written in the relevant language.
- 7.5. The Ph.D. thesis shall be submitted through the Guide for research degree, to the concerned Dean of the Faculty/Director of the Institute along with a certificate duly signed by the candidate and the Guide as well as Co-guide (s) for research degree as stipulated under O.EXEC.Ph.D.3.
- 7.6. The FLRAC/ILARC concerned shall recommend a list of at least Eight [08] Examiners for evaluation of Ph.D. Thesis. The FLRAC/ILARC will be empowered for submission of names of External Examiners as specified here in above to the office of the Deputy Registrar (Academics) and thereafter it should be placed before the Post-Graduate Council of Studies & Research as defined herein under for consideration and approval and also for carrying out the actions within its empowerment.
 - 7.6.1. 02 Examiners/Referees shall be preferably from outside the country in case of those subjects of Ph.D. Theses concerning areas of Science/Technology/Pharmacy/Engineering [Excluding Humanities & Social Sciences] and 06 shall be from within the country preferably Outside the State of Gujarat.

- 7.6.2. Minimum 06 Examiners/Referees shall be preferably from outside the state of Gujarat in case of subjects of Ph.D. Theses concerning Humanities & Social Sciences; at the most 02 Examiners/Referees shall be nominated from within the State of Gujarat, if available, as the case may be. Vice-Chancellor of the University is empowered to relax this requirement, upon the academic considerations on a case-to-case basis, for which the reasons are to be recorded in writing, and shall be reported to the Syndicate through the Council of Post-Graduate Studies and Research.
- 7.6.3. It would be mandatory to have the External Examiners preferably from University Departments/Reputed Institutions/Premier Institutes, and she/he should at least be rank of an Associate Professor/Professor.
- 7.6.4. External Examiner once appointed by Hon'ble Vice-Chancellor and assigned the responsibility to act as Evaluator for Ph.D. thesis, his/her name should not be recommended by the concerned FLRAC/ILARC at least for another period of One (01) Year again. The format for the recommendation of the Panel of External Examiners must include certification for this criterion. This is to be designed by the office of Academic section and approved by the Hon'ble Vice-Chancellor.

Certification to the fulfillment of this criterion shall be obtained from the Chairman/Chairperson of the concerned FLRAC/ILARC. In extra ordinary circumstances, Hon'ble Vice-Chancellor is authorized to relax this condition.

The panel of External Examiners shall be recommended by the Guide Chairman/Chairperson of the concerned FLRAC/ILARC for research degree directly to the office of the Deputy Registrar (Academics), The Maharaja Sayajirao University of Baroda, Vadodara and shall be required to be submitted in a sealed envelope to the Office of the Deputy Registrar (Academics), The Maharaja Sayajirao University of Baroda, Vadodara. The Chairman/Chairperson of the concerned FLRAC/ILARC for research degree, while recommending the list of Examiners, shall have to ensure that the Examiners are well below the superannuation age (i.e. 62 Years, as of now, as amended from time-to-time by the Government of Gujarat). Guide/Co-guide (s) of research degree shall also be invited during the meeting of the FLRAC/ILARC as an invitee member or he/she shall have to be included in the chain of circulation of the panel of External Examiners, if he/she is not the member of respective FLRAC/ILARC, as the case may be.

Note: Vice-Chancellor of the University is empowered to relax this requirement, upon the academic considerations on case-to-case basis, for which the reasons to be recorded in writing, and shall be reported to the Syndicate through the Council of Post-Graduate Studies and Research.

- 7.7. In case, if the Board of studies is not likely to meet, the Provisional Panel of External Examiners shall be recommended by circulation among all the members of the concerned Board of studies. The Provisional Panel of referees submitted by the guide for research degree shall be sent for circulation through an email by the Office of Academic section. Following documents are to be submitted while submission of Ph.D. synopsis:

- Forwarding Letter with Outward Number, Date & Signature of the Guide, the Head, and, the Dean;
- Final Eligibility Certificate (If applicable);
- Registration Certificate;
- Course-work Certificate/M.Phil. Degree Certificate;
- Extension Letter and/or Renewal Letter (If applicable);
- Last Term Fee Slip (Paid)
- Guide Change Letter (If applicable)
- Filled Examination form (If applicable)
- Panel submission certificate

All the members of the concerned Boards of Studies will be given 10 working days' time for vetting of the submitted Panel of referees in light of the submitted Synopsis. If all the members of the Boards of Studies are in agreement for the Panel of referees, then such agreement will be communicated to the Office of Academic section. If no communication(s) is(are) received within 10 working days, from the members of the Boards of Studies, then it will be presumed that all the members of Boards of Studies are in agreement of the submitted Panel of referees, and the Office of Academic section will process the Panel of referees further.

- 7.8.** The Ph.D. scholars must publish at least Two [02] Research papers in the journals listed in UGC CARE Reference List, SCOPUS Listing or WEB of Sciences and make Two [02] Paper presentations in Conference(s)/Seminar(s) before the submission of the Ph.D. Thesis for adjudication, and produce evidence for the same in the form of Hard Copy and/or Soft Copy [Scanned Copy] with clear evidence for the same in the form of Presentation Certificate(s) and/or Reprint(s) if available, else Acceptance letter from the Publisher/Editor, with own undertaking in writing that he/she shall submit the Presentation Certificate(s) and/or Reprint(s) before the conduct of Open Public Viva-voce (Open defense) Examination or actual release of Notification for conferring award of degree of Ph.D.
- 7.9.** Before submission of Ph.D. Thesis, each Research scholar shall be required to fulfil the requirements prescribed by the Post-Graduate Council of Studies and Research of The Maharaja Sayajirao University of Baroda, Vadodara with respect to detection of plagiarism and any other forms of Academic Dishonesty. The Ph.D. Thesis while submitted for evaluation, shall be accompanied with the original report of the anti-plagiarism software approved by The Maharaja Sayajirao University of Baroda, Vadodara, an undertaking from the Research scholar and a Certificate from the Guide for research degree attesting to the originality of the work, vouching that there is no plagiarism and any other Academic dishonesty and that the work has not been submitted for the award of any other Degree/Diploma of The Maharaja Sayajirao University of Baroda, Vadodara, or to any other University/Institution as the case may be. The percentages of allowable plagiarism shall be as notified by the University Grants Commission from time-to- time.
- 7.10.** Guidelines for the requirement of the number of Research publications before submission of Ph.D. Thesis, as issued by The Maharaja Sayajirao University of Baroda, Vadodara, and amended from time-to-time and displayed on the official website of the University shall be required to be followed by the Research scholars.
- 7.11.** The Ph.D. Thesis shall be submitted in Spiral bound/Soft bound/Book type bound

form along with a soft copy [in PDF Format] as per the required format. Along with the Ph.D. Thesis, 02 copies of the 'Abstract' (Other than the Synopsis) shall be submitted in prescribed format to the Deputy Registrar (Academics), The Maharaja Sayajirao University of Baroda, Vadodara. Ph.D. Thesis. The Research scholar and the Guide for research degree shall jointly verify and ensure that the contents of the softcopy of the 'Abstract' are as per the prescribed format.

- 7.12.** The Ph.D. Thesis shall be presented in accordance with the following specifications:
- 7.12.1. The paper used for printing shall be of A4 size.
 - 7.12.2. Printing shall be in a standardized form on both sides of the paper and in 1.5-line spacing.
 - 7.12.3. A margin of 1.5 inches shall be on the left-hand side.
 - 7.12.4. The card for cover shall not be more than 330 GSM.
 - 7.12.5. The title of the Ph.D. Thesis, Name of the Candidate, Degree, and Name of the Guide for research degree, Details about Name of the concerned Faculty/Institute as well as Department or Institute, and the Month and Year of submission shall be required to be printed on the Title Page and the Front Cover of the final Ph.D. Thesis as the case may be.
- 7.13.** The candidate shall submit to the Deputy Registrar (Examinations), The Maharaja Sayajirao University of Baroda, Vadodara, 02 copies along with Softcopies (In MS-WORD and/or PDF) (In 03 separate CDs) (02 for External Examiners + 01 Proof of Submission) of the Ph.D. Thesis and produce an acknowledgement of the receipt of Deputy Registrar (Examinations), The Maharaja Sayajirao University of Baroda, Vadodara to the Guide for research degree and the Dean of the concerned Faculty and/or Director of the concerned Institution.
- 7.14.** The Ph.D. Thesis shall include a Certificate of the Guide/Co-guide(s) for research degree and a Declaration by the Candidate that the research work reported in the Ph.D. Thesis has been carried out by the Research scholar himself/herself and that the material from other sources, if any, is duly acknowledged.
- 7.15.** The Vice-Chancellor shall nominate Two [02] External Examiners for evaluation of Ph.D. Thesis from among the names (preferably Professors or equivalent in ranks) recommended by the Council of Post-Graduate Studies and Research upon the preparation of the panel of examiners by the relevant panel of External Examiners. The Guide for research degree shall ordinarily be the Guide for research degree under whom the Research scholar has worked.
- 7.16.** The Ph.D. Thesis submitted by a Research scholar shall be evaluated by the Guide for research degree and at least Two External Examiners, who are not in the Employment of The Maharaja Sayajirao University of Baroda, Vadodara, of whom one examiner preferably should be from outside the Gujarat State/Country. The Open Public Viva-voce (Open defense) Examination, based among other things, on the critiques given in the evaluation report, shall be conducted by the Guide for research degree and at least One of the External Examiners, and shall be open to be attended by the members of the FLRAC/ILARC, as well as by all faculty members of the Department/Faculty/Institution/College, other Research scholars and other interested experts/researchers as the case may be. The External Examiners shall submit the detailed report on the evaluation of the Ph.D. Thesis and clear recommendations as per the prescribed format.

- 7.17.** After the receipt of the reports as prescribed herein under, the Open Public Viva-voce (Open defense) Examination shall be proposed by the Guide and Co-guide(s) for research degree by inviting online or offline at least one of the External Examiners who have evaluated the Ph.D. Thesis.
- 7.18.** Viva-voce examination of the Research scholar may be preferably held in concerned University Faculty/Institute. However, Vice-Chancellor is empowered to take appropriate decision in exigent conditions, on case-to-case basis.
- 7.19.** Open online or offline Public Viva-voce (Open defense) Examination of the Thesis:
- 7.19.1. The Open online or offline Public Viva-voce (Open defense) Examination shall be chaired by the External Examiner and in presence of the Guide and Co-guide (s) as well as Chairperson and members of the FLRAC/ILRAC for research degree.
- 7.19.2. The day, date, time and the place for the online or offline Open Public Viva-voce (Open defense) Examination of Ph.D. Thesis shall be notified by the Dean of the Faculty/Director in case of an institution, at least seven days in advance. Normally, the Open Public Viva-voce (Open defense) Examination of the Ph.D. thesis shall be arranged in the University Faculty/Institute. In exceptional cases, the Vice-Chancellor may allow the offline Open Public Viva-voce (Open defense) Examination to be conducted at a forum outside the campus of The Maharaja Sayajirao University of Baroda, Vadodara. In such a case, the procedure and norms for the conduct of Open Public Viva-voce (Open defense) Examination, payments, etc. shall be as laid down by the Maharaja Sayajirao University of Baroda, Vadodara.
- 7.19.3. The chairperson and members of the of the FLRAC/ILRAC, Guide and Co-guide(s) as well as other faculty members and Research scholars belonging to an allied subject (Related/Conjunct Subject) of various other Department(s)/Faculty/Institute, and various other interested experts/researchers may also attend the online or offline Open Public Viva-voce (Open defense) Examination of Ph.D. Thesis.
- 7.19.4. The Dean of the concerned Faculty/Director of the concerned institute will coordinate and invite the member of the Council of Post-Graduate Studies and Research of concerned constituency while organizing the Open Public Viva-voce (Open defense) Examination, in consultation with the Internal Guide and the members of the FLRAC/ILRAC.
- 7.19.5. All Open online or offline Public Viva-voce (Open defense) Examination must be recorded in the Videography. Deans of the concerned Faculty shall be required to preserve, store and maintain the safe custody of the footage of Open Public Viva-voce (Open defense) Examination separately for each candidate. These footages are primarily not required to be forwarded to the university, but the same may be provided on demand basis.
- 7.19.6. In case of any dispute, the Dean of the concerned Faculty/Director of the concerned institute shall take an appropriate decision.
- 7.20.** In order to have the academic enrichment, the members of the Council of

Postgraduate Studies and Research recommend that the External Examiner visiting the department will be invited to deliver at least one lecture/interaction with the Students, Research Scholars and Staff members. Extra remuneration may be paid from the budget head of 'Academic Activity Fee' or any other suitable head of an approved budget of the concerned Faculty/Institute.

- 7.21.** The virtual or physical Open Public Viva-voce (Open defense) Examination of the Research scholar to defend the Ph.D. Thesis shall be conducted only if the evaluation reports of both the External Examiners/Referees are satisfactory and include a specific recommendation for conducting the Open Public Viva-voce Examination.
- 7.22.** If the External Examiners ask for certain clarifications, before giving their clear recommendation, the Guide and Co-guide (s) for research degree may get in touch with the Research scholar to obtain the required information, and communicate the same to the External Examiner. In such cases, where the External Examiners reserve their recommendations and suggest an online or offline Open Public Viva-voce (Open defense) Examination, then, the candidate will need to satisfy the Viva-voce committee [The Guide and Co-guide (s) for research degree and the External Examiner (Chairperson) present], on the points raised by the two External Examiners.
- 7.23.** If One of the evaluation reports of the External Examiners in case of Ph.D. thesis, is unsatisfactory and does not recommend Open Public Viva-voce (Open defense) Examination, the Deputy Registrar (Examinations), The Maharaja Sayajirao University of Baroda, Vadodara shall forward the Ph.D. Thesis to another External Examiner, as nominated by Vice-Chancellor, from out of the approved panel of examiners. Provided further that when it is decided to appoint third External Examiner the copies of the reports of both the External Examiners, favourable as well as adverse, be sent to the third External Examiner for the perusal, without disclosing the identity of earlier External Examiners.
- 7.24.** The online or offline Open Public Viva-voce (Open defense) Examination shall be held only if the report of the third External Examiner is satisfactory. If the report of the third External Examiner is also unsatisfactory, the Ph.D. Thesis shall be rejected and the Research scholar shall be declared ineligible for the award of the Ph.D. Degree.
- 7.25.** If both the External Examiners consider that the thesis is unacceptable for the award of the Ph.D. Degree, Open Public Viva-voce (Open defense) Examination test of the Research scholar shall be not be conducted, and the Reports of all the External Examiners shall be placed before the Syndicate. The decision of the Syndicate shall be final for either non-award of the Ph.D. degree or any other directive concerning the research work submitted by the scholar in the form of PhD thesis.
- 7.26.** After the successful completion of the Open Public Viva-voce (Open defense) Examination both the Guide for research degree and the External Examiner (Chairperson) shall prepare a joint final consolidated report on the Open Public Viva-voce (Open defense) Examination [In case of Virtual Public Viva-voce (Open defense), both the External examiners, through an email to the concerned Dean of the Faculty – Any one External examiner will act as a Chairperson] and certify that all suggested changes have been incorporated along with the reply given to the queries raised by the External Examiner in the written form, signed and accepted by the members of the

Viva-voce Panel as well as the list of the persons attended the Open Public Viva-voce (Open defense) Examination in respect of the award of the Ph.D. degree immediately after the Open Public Viva-voce (Open defense) Examination is over.

However, if the guide for research degree is not available due to any unforeseen reason(s) like unfortunate death, discharge from active services of the University.... etc. and such like other reason(s) leading to unavailability of guide for research degree during the ongoing Ph.D. Studies after submission of the Synopsis/Ph.D. thesis, Dean of the concerned faculty in consultation with the Head of the respective Department (Wherever Departmental Heads are available) shall be the Convener of the Open Public Viva-voce (Open defense) Examination for preparation of a joint final consolidated report on the Open Public Viva-voce (Open defense) Examination [In case of Virtual Public Viva-voce (Open defense), both the External examiners, through an email to the Dean of the concerned Faculty] and certify that all suggested changes have been incorporated along with the reply given to the queries raised by the External Examiner(s) in the written form, signed and accepted by the members of the Public Viva-voce (Open defense) Panel as well as the list of the persons attended the Open Public Viva-voce (Open defense) Examination in respect of the award of the Ph.D. degree immediately after the Open Public Viva-voce (Open defense) Examination is over. Dean of the concerned Faculty shall forward all necessary communications in this regard.

- 7.27.** In case the online or offline Open Public Viva-voce (Open defense) Examination is not satisfactory, the examiners may unanimously recommend with reasons that a fresh online or offline Open Public Viva-voce (Open defense) Examination of the Ph.D. Thesis be organized within a period of not less than one month. If the online or offline Open Public Viva-voce (Open defense) examination is still not satisfactory, the panel for Viva-voce Examination would record the reasons for the same and may recommend the resubmission of the Ph.D. Thesis with suggested changes or recommend the rejection of the Ph.D. Thesis, as the case may be.
- 7.28.** The concerned Research scholar shall be required to submit the softcopy of the Executive summary of the Ph.D. thesis consisting of the Table of contents, the brief Research methodology, the Key findings, Conclusions, and the Recommendations / Suggestions etc. along with the Bibliography and Webliography for hosting the same on the website of the University for a period of 10 days at least.

Following modality will have to be followed:

- 7.28.1. After the receipt of TWO Clear and Positive Evaluation Reports from the External Referees, the Open Public Viva-voce (Open defense) will be conducted.
- 7.28.2. After the conduct of Open Public Viva-voce (Open defense), the Guide for research degree and the External Examiner (Chairperson) shall prepare a joint final consolidated report on the Open Public Viva-voce (Open defense).
- 7.28.3. After the receipt of Joint final consolidated report recommending the research work for the award of Ph.D. degree (After incorporation of every suggested corrections / modifications), the soft-copy of the Research work will be hosted on the University website on every 10th day/20th day/30th day of the

respective month, as per the date of receipt of the aforesaid report and the soft-copy in the required format to the Office of Examination section.

- 7.28.4. As soon as the Joint final consolidated report on the Open Public Viva-voce (Open defense) Examination is received from the Guide for research degree and the External Examiner(s), Deputy Registrar (Examinations) is authorized for the acceptance of the thesis for the Ph.D. degree.

However, if the guide for research degree is not available due to any unforeseen reason(s) like unfortunate death, discharge from active services of the University....etc. and such like other reason(s) leading to unavailability of guide for research degree during the ongoing Ph.D. Studies after submission of the Synopsis/Ph.D. thesis, Dean of the concerned faculty shall act as a convener for the conduct of Open Public Viva-voce (Open defense) Examination, in consultation with the Head of the Department (Wherever Departmental Heads are available) and Dean of the concerned Faculty shall forward all necessary communications in this regard.

- 7.28.5. The Office of Examination section immediately notifies the Ph.D. Notification of the concerned Research scholar, after due administrative formalities.

Note: If the 10th day/20th day/30th day of respective month fall on the holiday; the next working day will be considered for hosting of the aforesaid submission.

- 7.29.** An honorarium @ ₹5,000/-each shall be paid for evaluation of the Ph.D. Thesis, to the Guide for research degree as well as Co-guide, if any. In addition, each Examiner conducting the Open Public Viva-voce (Open defense) Examination, including the Co-guide, if any, shall be paid ₹3,000/- as the honorarium.

The present Ordinance for Executive Ph.D. Programme is introduced upon the recommendation of the Council of Post-graduate Studies and Research through the proposal number PGC-1 dated 27/07/2020 - 17/09/2020 - SR-33 dated 21/09/2020.

Glossary:

Institute:

The word Institute means the Institute of Interdisciplinary Studies – Addition of New Ordinance 304 in Hand Book Part II 1984 as approved under S.R. No 13 dated 19.02.2018

Guide for research degree:

The word Guide for research degree means the Research Supervisor.

Co-guide for research degree:

The word Co-guide for research degree means the Co-supervisor.

Student:

“Student’ means a person admitted to and pursuing a specified Degree/Diploma/Certificate/Vocational Programme approved by the University, for the present

Ordinance, a student means the Research scholar.

Academic Programme:

Academic Programme means a Higher Education Programme pursued for a Degree specified by the University.

Statutory Body:

“Statutory / Regulatory Body” means a body so constituted by a Central Act or a State Government Act for Setting and Maintaining Standards in the Relevant Areas of Higher Education.

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